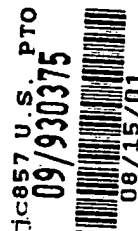


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SECTION 2: FORM PTO 1449 - MODIFIED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Monga, et al. Atty Dkt: 2204/A98
Serial No: Not yet assigned Date: August 15, 2001
Date Filed: Herewith
Invention: System, Device, and Method for Managing Service Level Agreements in an Optical Communication System



Commissioner for Patents
Washington, DC 20231

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS'
INFORMATION DISCLOSURE STATEMENT

Other Documents

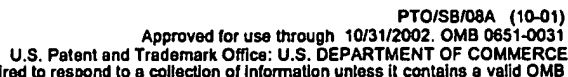
Exam. Ref.

Init. No. Name

AP
AA "Simple Wavelength Assignment Protocol", Stephen Suryaputra, et al., October 26, 1999.
AB "Work on the Automatic Switched Optical Network", Contribution to T1 Standards Project - T1X1.5, Newsome, et. al., January, 2000.
AC Memo: "MPLS Control Plane for Switched Optical Networks", MPLS Working Group Internet Draft, Krishnaswamy, et, al., February 2000.
AD Memo: "IP Over Optical Networks: A Summary of Issues" Internet Draft, N. Chandhok, et al., July 2000.
AE "Architecture for the Automatic Switched Optical Network" First Draft of G.ason, Mayer, September 13, 2000 - September 15, 2000.
AF "Controlling Optical Data Networks with an Application Specific routing Protocol", Cisco Systems, Inc., 2000.
AG Memo: "Generalized MPLS - Signaling Functional Description" Ashwood- Smith, Network Working Group Internet Draft, July 2001.
AH "ASON - Requirements at the Client API", Newsome, et. al., September 2000.
AI "Automatically Switched Optical Networks (ASON)", Nortel Networks Limited, June 2000.
AJ "MPLS: A Progress Report", Peter Ashwood-Smith, et al., November 1, 1999, URL: <http://www.networkmagazine.com/article/NMG20000426S0015>.

Examiner: *Ashok*

Date Considered: *11/3/04*



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (If known)			
		US- 5,905,715	05/18/1999	Azarmi et al.	
		US- 5,903,370	05/11/1999	Johnson	
		US- 5,774,669	06/30/1998	George et al.	
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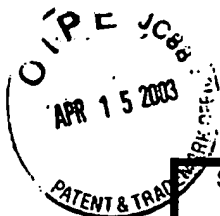
[illegible]

Examiner Signature	<i>AS/rok</i>	Date Considered	<i>11/3/04</i>
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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO			Complete if Known		
			Application Number	09/930375	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Filing Date	8/15/2001	
			First Named Inventor	Monga	
			Art Unit	2633	
			Examiner Name		
			Attorney Docket Number	14986BAUS01U 120-178	
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Document Number	Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
AP		US-5,889,800	03/30/1999	McGuire	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
AP		IPER for PCT/US01/25520		Nortel Networks Ltd.		

Examiner Signature	<i>Ashok Patel</i>	Date Considered	11/3/04
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